



Non-commercial JSC « L.N.Gumilyov Eurasian National University»

REGULATION

Approved by
The Rector of
Non-commercial JSC
«L.N.Gumilyov Eurasian
National University»
_____ E. Sydykov
_____ 2021


REGULATIONS ON DISSERTATION COUNCIL OF L.N.GUMILYOV EURASIAN NATIONAL UNIVERSITY

P ENU 19-21

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Nur-Sultan

2021

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Introduction

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EDITION: seventh

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4 APPROVED AND INTRODUCED: dated ____ _____ 2020

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1 Purpose

1.1 This Regulation on the Dissertation Council of the L.N.Gumilyov Eurasian National University after (hereinafter – Regulation) determines the order of opening and functioning of Dissertation Councils for the defense of doctoral dissertations.

2 Normative references

2.1 This Regulations was developed in accordance with the Model Regulation on the Dissertation Council approved by the Order No.126 of the Minister of Education and Science of the Republic of Kazakhstan dated March 31, 2011, with amendments and additions introduced by the Order No.170 of the Minister of Education and Science of the Republic of Kazakhstan dated April 30, 2020 (hereinafter – Regulation), taking into account the State Compulsory Standard of Postgraduate Education, approved by the Order No. 604 of the Minister of Education and Science of the Republic of Kazakhstan dated October 31, 2018 with amendments and additions introduced by the Order No.182 of the Minister of Education and Science of the Republic of Kazakhstan dated May 5, 2020, as well as the Rules of awarding degrees, approved by the Order No.127of the Minister of Education and Science of the Republic of Kazakhstan dated March 31,2011, with amendments and additions introduced by the Order No.170 of the Minister of Education and Science of the Republic of Kazakhstan dated April 30, 2020.

3 General provisions

3.1 The Dissertation Council is a collegiate body of the L.N.Gumilyov Eurasian National University (hereinafter - University) which holds the defense of doctoral dissertations.

3.2 Doctor of Philosophy (PhD), specialist doctor - degrees awarded to individuals who have mastered the program of doctoral studies in scientific and pedagogical direction or a relevant field of professional activity and have defended a dissertation in the Republic of Kazakhstan or outside its borders, in manner prescribed by the legislation of the Republic of Kazakhstan.

4 Order of formation of the Dissertation Council

4.1 Dissertation Councils are created by University for 3 (three) calendar years in the training areas (with the indication of the specialties of doctoral studies that had functioned until 2018) for which the state educational order is placed. The basis for the opening of the Dissertation Council is the decision of the Faculty Council. Proposals for candidates to the Dissertation Council shall be drawn up in accordance with Appendix 1 of the Regulations.

The composition of the Dissertation Council as well as changes of its composition are approved by order of the Rector based on the decision of the Academic Council of the University.


Information on the opening and composition of the Dissertation Council shall be posted on the University's website within 5 (five) working days of the issuance of the order of the Rector.

4.2 The Dissertation Council shall elect a Chairman, a deputy Chairman, an academic secretary among the members of the Dissertation Council.

The Chairman and academic secretary shall be full-time employees of the University

4.3 The Dissertation Council for each specialty includes at least 7 (seven) people with an academic degree (Candidate of Sciences, Doctor of Sciences, Doctor of Philosophy (PhD), specialist PhD) or academic degree of Doctor of Philosophy (PhD) (PhD in profile or PhD degree). At least half of the members of the Dissertation Council must be representatives of other universities, scientific and (or) other organizations.

At least one of the council must be a foreign scientist.

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4.4 Requirements for members of Dissertation Councils on natural sciences (training programs):

- citation index - Hirsch index of at least 2 (two) in the international citation systems Web of Science or Scopus, or the presence of 2 (two) doctors of Philosophy degrees (PhD) with doctoral certificate issued by the Ministry of Education and Science of the Republic of Kazakhstan or the university with special status for the last 5 years, and not less than 5 (five) publications in journals included in the 1 (first), 2 (second) and 3 (third) quartile according to the databases of the Web of Science or Scopus for the last 5 years or the international patents.

Requirements for members of Dissertation Councils on social and humanitarian specialties (training programs):

- citation index - Hirsch index of at least 1 (one) in international citation systems Web of Science or Scopus, or the presence of 2 (two) doctors of Philosophy degrees (PhD) with doctoral certificate issued by the Ministry of Education and Science of the Republic of Kazakhstan or the university with special status for the last 5 years, and not less than 3 (three) publications in the international peer-reviewed scientific journals included in the Web of Science or Scopus databases for the last 5 years.

Requirements for members of the Dissertation Council on specialties (training programs) Kazakh language and literature, Philology of Kazakh, Kazakh literature studies:

- not less than 5 (five) publications in the relevant field in the international peer-reviewed scientific journals and 10 (ten) publications over the past 5 years in journals included in the List of scientific journals recommended for publication of the main results of scientific activities, approved by the authorized body in accordance with subparagraph 121 of paragraph 16 on the Regulation of the Ministry of Education and Science of the Republic of Kazakhstan approved by the Government of the Republic of Kazakhstan dated October 28, 2004 No. 1111 (hereinafter - List of Publications).

4.5 The Dissertation Council does not include:


- 1) Rector of the University;
- 2) Employees of the Ministry of Education and Science of the Republic of Kazakhstan;
- 3) Specialists who were scientific advisers on dissertations defended in the Republic of Kazakhstan that have received a negative decision of the Committee for Quality Assurance in Education and Science of the Ministry of Education and Science of the Republic of Kazakhstan (hereinafter – the Committee) for the last 5 (five) years.

4.6 All expenses associated with the activities of Dissertation Council, including travel expenses of out-of-town members of the Dissertation Council are reimbursed by the University in accordance with the declared and included in the University Development Plan expenses for the calendar year. The University provides verification of dissertations in the licensing systems of borrowing detection, including international databases.

A doctoral candidate who has mastered the full course of theoretical study of the doctoral educational program, but has not completed the scientific component, is given the opportunity to re-master academic credits of the scientific component on a paid basis and defend a dissertation in subsequent years.

A doctoral candidate who has mastered the full course of theoretical study of the doctoral education program, who has completed the scientific component, but has not defended his doctoral dissertation (project), is given the opportunity to defend the dissertation in subsequent years on a paid basis in the amount of 4 academic credits.

4.7 There is a scientific seminar in which at least 3 (three) members of the Dissertation Council in the relevant specialty (area) and scientists of the University - specialists in doctoral student's field of research. If the Scientific Advisor is a member of the Dissertation Council, then two members of the Dissertation Council participate in the scientific seminar. The seminar is held within

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the framework of the Dissertation Council's approved work schedule. The purpose of the functioning of the scientific seminar is to form a substantiated conclusion on the dissertations of doctoral students regarding the relevance of the selected research topic, the validity of the conclusions, the completeness of publication of the research materials, etc.

Members of the Dissertation Council for participation in the scientific seminar are determined by Scientific Secretary in consultation with the Chairman of the Dissertation Council.

4.8 Within seven (7) working days from the date of admission of the thesis for a single defense, two (2) external specialists in the doctoral student's field of study, who do not have a common work with the doctoral student and do not represent the organization on the basis of which the work was performed, can be included in the Dissertation Council by order of the Rector of the University.

5 Functions of the Dissertation Council

5.1 Functions of the Dissertation Council:

- 1) Acceptance of documents for the thesis defense;
- 2) Appointment of the date of defense and official reviewers of dissertation work;
- 3) Presentation of information on the University website;
- 4) Creation of a commission from among the members of the Dissertation Council (hereinafter referred to as the Dissertation Council Commission) to check the dissertation for the use of borrowed material without reference to the author and source of borrowing (plagiarism);
- 5) Conducting a public defense of a doctoral dissertation;
- 6) Withdrawal of the thesis from the defense;

If a Dissertation Council violates the requirements established in these Regulations more than 3 (three) times, the Chairman, Deputy Chairman and Academic Secretary of the Dissertation Council will be re-elected.

5.2 Members of the Dissertation Council:

- 1) Provide objective, complete and reliable information on the content of dissertation;
- 2) Do not allow the concealment of data relating to the thesis defense;
- 3) Respond to facts of violations of scientific ethics;
- 4) Are free from the influence of public opinion, one of the parties or third parties, while making a decision,
- 5) Take measures to prevent and resolve conflicts of interest;
- 6) Do not use harsh, offensive expressions, accusations detrimental to the honor and dignity of other members of the council, doctoral candidates, scientific advisers and official reviewers in the process of their activities.

In case of detection of facts of non-compliance with the requirements specified in this paragraph, a member of the Dissertation Council is excluded from its composition.


5.3 At the end of the calendar year the Dissertation Council submits to the Committee, to the Department of Science and Innovation of the University a report on the work of the Dissertation Council by December 30 of the reporting year (*Appendix 2*).

5.4 The schedule of meetings of the Dissertation Council for the defense of doctoral theses is drawn up for a calendar year and is approved by order of the Rector of the University (*Appendix 3*). In 1 (one) day, no more than 2 (two) meetings are held.

5.5 The Dissertation Council works in the state, Russian and English languages.

6 The procedure for submitting a dissertation to the Dissertation Council

6.1 The University provides a discussion of the doctoral dissertation at an extended meeting of the department.

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One (1) month before the extended meeting of the Department, the thesis is sent to 2 (two) specialists with an academic degree (Doctor of Sciences, Candidate of Sciences, Doctor of Philosophy (PhD), specialist Doctor) or an academic degree of Doctor of Philosophy (PhD), specialist Doctor or PhD, specialist Doctor in the field of doctoral student's research for a preliminary examination of the thesis and submission of a review on the thesis.

The extended meeting shall include at least 2/3 (two-thirds) members of the department and (or) structural divisions, scientific consultants, as well as representatives of adjacent (related) departments and (or) structural divisions of the university, scientific and other organizations.

In the absence of consultants at the meeting their reports on the dissertation of a doctoral candidate are read by the head of the department / laboratory (department, center).

After receiving a positive conclusion of the extended meeting of the department and (or) structural division, the doctoral candidate submits an application to the Rector on the choice of the Dissertation Council where he/she will defend. If the doctoral candidate specifies the Dissertation Council of another university, the department sends his documents to the Dissertation Council chosen by the doctoral student within 10 (ten) working days.

The protocol of the meeting of the department is approved by the Vice-Rector for Science and Innovation.

6. The university in which the doctoral student was trained submits the following documents to the Dissertation Council with a cover letter on the letterhead of the university:

- 1) Reports of domestic and foreign scientific consultants;
- 2) Positive conclusion of an extended meeting of the department and/or structural subdivision approved by the Rector or Vice-Rector of the University;
- 3) Dissertation in hardcover and on electronic medium (CD-ROM) (hereinafter - electronic medium);
- 4) List of scientific papers and their copies.
- 5) The conclusion of the Ethics Commission of the university, where the doctoral student studied, on the absence of violations in the process of planning, evaluation, selection, implementation and dissemination of the results of scientific research, including the protection of the rights, safety and welfare of research objects (wildlife and habitat objects);
- 6) Copy of the transcript of the educational program of doctoral studies;
- 7) Personal personnel record sheet, certified at the place of work;
- 8) Copy of the identity document.


The thesis is presented in one of the following languages - state, Russian or English.

Acceptance of documents is carried out by the Scientific Secretary of the Dissertation Council, who registers them in a period of not less than 2 (two) working days and submits them to the Dissertation Council.

6.3 Doctoral students, including those who studied in another university, no later than 1 (one) week before the receipt of documents for the defense must discuss the dissertation at a scientific seminar of the Dissertation Council. If necessary, other (closed) reviewers may also be appointed from the number of international or domestic scientists - specialists in the doctoral student's field of research.

6.4 Content of the thesis must meet the requirements of paragraph 6 of the Rules for awarding the degrees, approved by Order № 127 of the Minister of Education and Science of Kazakhstan dated 31.03.2011 with amendments introduced by Order № 170 of the Minister of Education and Science of Kazakhstan dated April 30, 2020.

6.5 No later than 10 (ten) working days from the date of receiving the documents, the Dissertation Council determines the date of defending the dissertation and appoints two official

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external reviewers with an academic degree (Doctor of Sciences, Candidate of Sciences, Doctor of Philosophy (PhD), specialist Doctor) or an academic degree of Doctor of Philosophy (PhD), specialist Doctor or PhD, specialist Doctor.

The date of defense does not exceed more than 3 (three) months from the date of its appointment. When assigning a defense date, the order of arrival of documents of the doctoral students is observed.

Members of the Dissertation Council (no more than 1/3) are allowed to attend the meeting on the reception of the thesis to the defense in the form of a video conference.

The appointment of reviewers from the Dissertation Council is not allowed.


6.6 The Dissertation Council is guided by the principle of independence of reviewers, scientific consultants from each other.

Official reviewers must not be:

- 1) Employees of the Committee;
- 2) Co-authors of the doctoral student in papers published on the topic of the thesis;
- 3) Directors and employees of departments of the university and scientific organization (departments, laboratories, centers) where the dissertation was carried out and / or research works where the doctoral student is a customer or performer (co-performer).
- 4) Members of the Dissertation Council.

6.7 The Dissertation Council places the following information about the doctoral students' defenses and the activities of the council on the University website (except the materials and dissertations containing state secrets or information not for public use):

- 1) Announcement of upcoming defense with an indication of the address, date and time (1 (one) month before the date of defense);
- 2) Dissertation (1 (one) month before the set date of defense, available for at least 5 (five) months after the defense);
- 3) Annotation in the state, Russian, English languages with a total volume of at least 1 (one) printed sheet (1 (one) month before the date of defense); (*Appendix 4*)
- 4) List of publications of the doctoral candidate (1 (one) month before the date of defense);
- 5) Reviews of scientific consultants (1 (one) month before the date of defense), which are available for at least 5 (five) months after defense; (*Appendix 5*)
- 6) Reviews of official reviewers (15 (fifteen) working days before the set date of defense) (*Appendix 6*);
- 7) Video recording of the defense in full, editing is not allowed (posted within 5 (five) working days after the defense and available for at least 5 (five) months after defense)
- 8) Conclusion of the Dissertation Council on the awarding of the degree of Doctor of Philosophy, Doctor of Science (posted within five (5) working days after the defense and available for at least five (5) months after the defense). In case of a negative decision - the conclusion of the Dissertation Council on the refusal to award the degree of Doctor of Philosophy, Doctor of Science (posted within five (5) working days after the defense and is available for at least five (5) months after the defense);
- 9) the decision of the Appeals Board (if available, posted within 5 (five) working days after the decision and available for at least 5 (five) months after the decision);
- 10) report on the work of the Dissertation Council (posted within 15 (fifteen) working days after the end of the calendar year) (*Appendix 2*);
- 11) a quarterly report on the decisions made by the Dissertation Councils on the awarding (refusal to award) the degrees of Doctor of Philosophy (PhD), Specialty Doctor. The quarterly report is posted within five (5) working days after the end of the quarter and is available for the duration of the Dissertation Council activity (*Appendix 7*);

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12) When submitting a dissertation for re-defense, the Dissertation Council appoints 3 (three) members of the Dissertation Council, who make a conclusion on the elimination of previously established improprieties in the dissertation. The conclusion is placed on the University website not less than 10 (ten) working days before the defense and is credited to the defense of the thesis.

13) Procedure for awarding a diploma of the degree of Doctor of Philosophy, a specialty doctor;

14) Announcements about the change of the date, time, venue of the defense and about the replacement of official reviewers (if necessary);

15) Information on the composition of the Dissertation Council and the order of activity of the Dissertation Council.

16) Information on the availability of a licensing system for the detection of borrowings, including international databases with an indication of the period of validity.

6.8 When posting the thesis on the University's website, the protection of copyright is ensured, technologies are used to protect against illegal copying and further use of the thesis materials. Once the thesis is posted on the University's website, no changes in the text of the thesis are allowed.

The University's online resource provides the opportunity to post unofficial feedback on the content of the thesis with their further presentation at the defense. Unofficial reviews that cannot be identified as authors and do not have the author's email address are not presented at the defense.

6.9 Notification on the upcoming defense is sent by the Dissertation Council to the Committee within 5 (five) working days from the date of admission to defense (*Appendix 8*).


6.10 Copy of the thesis on paper and electronic medium is transmitted to the library of the University. Within 7 (seven) working days after the defense, copies of the dissertation are electronically transferred by the academic secretary of the Dissertation Council to the National Academic Library of the Republic of Kazakhstan and the National Library of the Republic of Kazakhstan (except for dissertations containing state secrets or information not for public use).

6.11 Within ten (10) working days after acceptance for defense, the Dissertation Council sends doctoral student's thesis to the Joint-Stock Company "National Center for State Scientific and Technical Expertise (hereinafter - NCSTE) for plagiarism check in domestic and international databases (*Appendix 9*). The title page and list of references are not checked for plagiarism.

6.12 Check of theses containing state secrets or information for official use, for use by the doctoral student of borrowed material without reference to the author and the source of borrowing is carried out in the NCSTE or commission in military, special educational institutions and (or) scientific organizations subordinated to the national security authorities of the Republic of Kazakhstan, the Ministry of Internal Affairs of the Republic of Kazakhstan, the bodies of the Prosecutorial authorities of the Republic of Kazakhstan and the Ministry of Defense of the Republic of Kazakhstan.

6.13 In the presence of facts of plagiarism indicated in the certificate of NCSTE, in the reviews of official reviewers and unofficial reviews on the University Internet resource, the Commission of the Dissertation Council carries out a plagiarism check of the thesis. The conclusion of the check is submitted to the Dissertation Council no later than eight (8) working days before the defense of the thesis.

The Dissertation Council, based on the conclusion of the Dissertation Council Commission (no later than 7 (seven) working days prior to the defense), decides to admit the doctoral candidate to the defense or to withdraw the thesis from the defense. The Dissertation Council informs the doctoral student of the decision made within two (2) working days, and the relevant information is posted on the University website (with the exception of the defense of dissertations that contain

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state secrets and information for official use).

6.14 Official reviewers submit to the Dissertation Council written reviews assessing compliance with the directions of the development of science (formed by the Higher Scientific and Technical Commission under the Government of the Republic of Kazakhstan in accordance with paragraph 3 of Article 18 of the Law "On Science") and (or) government programs, relevance, compliance with the principles of novelty, autonomy, credibility, internal unity, practical value, academic integrity, and conclusion is made about the possibility of awarding the degree of Doctor of Philosophy (PhD), Doctor of Science.

If the review does not comply with the requirements of this paragraph, the Dissertation Council returns the review to the official reviewer for additional consideration or replaces the official reviewer no later than 10 (ten) working days before the defense.

Reappointment of the official reviewers is also carried out in case of a written refusal or inability to carry out a review. If the decision to replace the official reviewer is made less than fifteen (15) working days, the date of defense is postponed.

6.15 The doctoral candidate has the opportunity to remove his dissertation from the defense, but no later than 7 (seven) working days before the thesis defense.

7 Procedure of the Defense of Doctoral Thesis

7.1 The Chairman, deputy Chairman and academic secretary of the Dissertation Council cannot fulfill their duties at the meeting of the Dissertation Council in the case when the thesis of a doctoral candidate for whom they are scientific consultants is being considered. The duties of the chairperson of the Dissertation Council are entrusted to the deputy Chairman; the duties of the deputy Chairman and academic secretary are assigned to members by the decision of the Dissertation Council.

In cases of simultaneous absence of the Chairman, Deputy Chairman and Scientific Secretary, the meeting of the Dissertation Council is not held.

7.2 The Dissertation Council provides a video recording of the defense of the thesis in full, editing is not allowed.

7.3 The Dissertation Council meeting is considered valid if at least 2/3 (two thirds) of its members took part in its work, with at least 3 (three) specialists in each specialty (training programs) from among the Dissertation Council members taking part in the meeting.

Online participation in form of a video conference of members of the Dissertation Council at the defense meeting is also possible (no more than 1/3 (one third) of the Dissertation Council).

In the absence (for reasonable excuse) of one of the official reviewers, his/her review is read by the academic secretary. Reviewers are allowed to speak at this meeting of the Dissertation Council for online protection in the form of a video conference.


The Dissertation Council holds a secret vote to make one of the following decisions:

- 1) Award the degree of Doctor of Philosophy (PhD) or the Doctor of Science;
- 2) Refuse to award the degree of Doctor of Philosophy (PhD) or Doctor of Science.

In case of the online participation of one of the members of the Dissertation Council, an electronic secret voting is conducted remotely.

7.4 Members of the Dissertation Council, who are scientific advisers, direct supervisors or are closely related to the doctoral student, do not participate in voting.

7.5 The decision of the Dissertation Council is considered to be positive if 2/3 (two thirds) or more of the members of the Dissertation Council who participated in the meeting voted for it. If less than 2/3 (two-thirds) of the members of the Dissertation Council voted for a positive decision, a negative decision is taken.

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7.6 Based on the decision of the Dissertation Council within ten (10) working days from the date of its adoption, the corresponding order of the Rector on the awarding (refusal to award) to a doctoral student the degree of Doctor of Philosophy (PhD) or Doctor of Science shall be issued.

Persons who have been awarded the degree of Doctor of Philosophy (PhD), Doctor of Science, within five (5) working days from the date of publication of the order shall be issued diplomas of the University in the prescribed form

Diploma of the degree of Doctor of Philosophy (PhD), Doctor of Science is a strict accountability document and its form shall be approved by order of the Rector of the University.

The procedure for issuing the diploma is determined by an internal regulatory document approved by the Rector of the University.

Information about the awarding of the degree of Doctor of Philosophy (PhD), Doctor of Science shall be posted on the University's website within three (3) working days from the date of the decision of the Dissertation Council.

7.7 When making a negative decision, the Dissertation Council prepares a conclusion, which reflects what requirements of the Regulations, the Rules for awarding degrees the dissertation does not meet.

7.8 The conclusion of the Dissertation Council on the refusal to award the degree of Doctor of Philosophy (PhD) or Doctor of Science is posted on the University website in accordance with subparagraph 8) of paragraph 6.7 of this Regulation.

7.9 The thesis with a negative decision of the Dissertation Council or the Committee, is presented for a second defense in the order established in Chapter 6 of the Regulations not earlier than one year after the previous defense.

7.10 When submitting the thesis for a second defense, the Dissertation Council appoints three (3) members of the Dissertation Council, who make a conclusion about the elimination of the violations established earlier in the thesis. The conclusion is posted on the University website at least ten (10) working days before the defense and is read at the thesis defense.

7.11 An appeal against a negative decision of the Dissertation Council is submitted by a doctoral student in any form within 2 (two) months from the date of the decision.

7.12 Within 10 (ten) working days from the date of the appeal, by the order of the Rector of the University, an appeal commission is created. The commission includes at least 3 (three) specialists with a degree in a relevant specialty, including foreign experts. Scientific advisers, reviewers, members of the Dissertation Council cannot be members of the commission.

The Commission is governed by these Regulations and Rules.

The commission considers the appeal statement, thesis, materials of the Dissertation Council for defending the dissertation and prepares a conclusion on the results of the appeal within 30 (thirty) calendar days from the date of its creation.


The conclusion of the appeal commission is adopted by the members of the commission on the basis of an open voting by a majority vote and is signed by all members of the commission. In the case of a positive conclusion of the Appeals Commission a diploma for the award of the degree of Doctor of Philosophy (PhD) is issued.

The applicant shall be informed about the conclusion of the appeal commission within 15 (fifteen) calendar days from the date of its acceptance.

8 Responsibility of the Dissertation Council

8.1 Chairman of the Dissertation Council is responsible for the activities and organization of the Dissertation Council.

8.2 The Scientific Secretary of the Dissertation Council is responsible for compliance with

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the paragraphs of these Regulations for compliance with the established deadlines.

8.3 In case of non-compliance with the requirements of these Regulations the Chairman shall provide an explanation to the University administration within 10 days.

8.4 Members of the Dissertation Council are obliged to attend meetings of the Dissertation Council. A member of the Dissertation Council who fails to attend three meetings of the Dissertation Council without a valid reason within a calendar year is removed from the composition of the corresponding Dissertation Council upon the recommendation of the Chairman.

8.5 The Chairman of the Dissertation Council is responsible for the quality, objectivity and validity of the decisions on thesis defense.

8.6 The Chairman of the Dissertation Council is responsible for the timely and quality posting of information about the activities of the Council on the website of the University.

9 Final Regulations

9.1. The Academic Secretary of the Dissertation Council forms a doctoral student's attestation file and sends it within two (2) weeks to the Department of Science and Innovation.


The doctoral student's attestation file contains the following documents:

- 1) Thesis in hardcover and on electronic media;
- 2) List and copies of scientific publications on the subject of the thesis;
- 3) Certificate of the NCSTE examining the dissertation on the doctoral student's use of borrowed material without reference to the author and source of borrowing. For a thesis containing state secrets or information for official use, a certificate of the commission in military, special educational institutions and (or) scientific organizations subordinate to the national security bodies of the Republic of Kazakhstan, the Ministry of Internal Affairs of the Republic of Kazakhstan, prosecutorial authorities of the Republic of Kazakhstan and the Ministry of Defense of the Republic of Kazakhstan on verification of the thesis for use of borrowed material by the doctoral student without reference to the author and source of borrowing is submitted;
- 4) Reviews of Scientific Advisors;
- 5) Reviews of two (2) official reviewers;
- 6) Attendance list of members of the Dissertation Council (*Appendix 10*);
- 7) Video recording in full and the minutes of the Dissertation Council meeting on the thesis defense, signed by the Chairman and academic secretary;
- 8) The conclusion of the Ethics Commission of the university in which the doctoral student studied about the absence of violations in the process of planning, evaluation, selection, conduct and dissemination of the results of scientific research, including protection of the rights, safety and well-being of research objects (wildlife objects and habitats);
- 9) Transcript of mastering the educational doctoral program;
- 10) Minutes of the extended meeting of the department (or structural subdivision) in which the dissertation work was performed;
- 11) Information on the doctoral student (*Appendix 11*);
- 12) Registration and accounting cards in the Kazakh and Russian languages (*Appendix 13*);
- 13) Personnel record sheet certified at the place of work.

9.2 The academic secretary submits a thesis for registration to JSC "NSCTE" within 5 (five) working days (*Appendix 12*).


The dissertation shall include: abstract in three languages, list of scientific publications, registration card of the thesis in Russian and Kazakh languages, CD with the electronic version of the dissertation, abstracts in 3 languages, dissertation record card (DCC).

9.3. Disputes that are not settled by these Regulations, including on the issues of refusal to

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award the degree of Doctor of Philosophy (PhD), Doctor of Science, restoration of the period for filing an appeal, shall be resolved in a judicial procedure established by the legislation of the Republic of Kazakhstan.

9.4 These Regulations are enacted from the date of approval by the Rector of the University.

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Приложение 2
к Положению
на казахском языке

Диссертациялық кеңестің жұмысы туралы есеп

Л.Н. Гумилев атындағы Еуразия ұлттық университеті

_____ мамандықтары (кадрларды даярлау
бағыты) бойынша диссертациялық кеңесі

Есепте мынадай мәліметтер бар:

1. Өткізілген отырыстар саны туралы деректер.
2. Өткізілген отырыс санының жартысынан кемінде қатысқан кеңес мүшелерінің тегі, аты, әкесінің аты (ол болған жағдайда).
3. Оқу орны көрсетілген докторанттар тізімі.
4. Мынадай бөлімдері белгіленіп көрсетілген, есепті жыл ағымында кеңесте қаралған диссертацияларға қысқаша талдау:
 - 1) қаралған жұмыстар тақырыптарына талдау;
 - 2) диссертация тақырыбының «Ғылым туралы» Заңының 18-бабының 3-тармағына сәйкес Қазақстан Республикасының Үкіметі жанындағы Жоғары ғылыми-техникалық комиссия қалыптастыратын ғылымның даму бағыттарына және (немесе) мемлекеттік бағдарламалармен байланысы;
 - 3) диссертациялар нәтижелерінің практикалық қызметке енгізу деңгейін талдау.
5. Ресми рецензенттердің жұмысына талдау (мейлінше сапасыз пікірлерді мысалға ала отырып).
6. Ғылыми кадрларды даярлау жүйесін одан әрі жетілдіру жөніндегі ұсыныстар.
7. Философия докторы (PhD), бейіні бойынша доктор дәрежесіне ізденушілердің мамандықтар (кадрларды даярлау бағыты) бойынша қаралған диссертациялар туралы деректер:
 - 1) қорғауға қабылданған диссертациялар (оның ішінде басқа ЖОО докторанттарының);
 - 2) қорғаудан алынып тасталған диссертациялар (оның ішінде басқа ЖОО докторанттарының);
 - 3) ресми рецензенттердің теріс пікірін алған диссертациялар (оның ішінде басқа ЖОО докторанттарының);
 - 4) қорғау нәтижелері бойынша теріс шешім алған диссертациялар (оның ішінде басқа ЖОО докторанттарының).

Диссертациялық кеңестің төрағасы _____


(қолы, аты-жөні және тегі)

Диссертациялық кеңестің ғалым хатшысы _____

(қолы, аты-жөні және тегі)

Мөр

Мерзімі 20__ жылғы «__» _____

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на русском языке

Отчет о работе диссертационного совета

Диссертационный совет Евразийского национального университета им. Л.Н. Гумилева по специальностям (направлению подготовки кадров) _____


Отчет содержит следующие сведения:

1. Данные о количестве проведенных заседаний.
2. Фамилии, имя, отчество (при его наличии) членов диссертационного совета, посетивших менее половины заседаний.
3. Список докторантов с указанием организации обучения.
4. Краткий анализ диссертаций, рассмотренных советом в течение отчетного года, с выделением следующих разделов:
 - 1) анализ тематики рассмотренных работ;
 - 2) связь тематики диссертаций с направлениями развития науки, которые сформированы Высшей научно-технической комиссией при Правительстве Республики Казахстан в соответствии с [пунктом 3](#) статьи 18 Закона «О науке» и (или) государственными программами;
 - 3) анализ уровня внедрения результатов диссертаций в практическую деятельность.
5. Анализ работы официальных рецензентов (с примерами наиболее некачественных отзывов).
6. Предложения по дальнейшему совершенствованию системы подготовки научных кадров.
7. Количество диссертаций на соискание степеней доктора философии (PhD), доктора по профилю в разрезе специальностей (направления подготовки кадров):
 - 1) диссертации, принятые к защите (в том числе докторантов из других ВУЗов);
 - 2) диссертации, снятые с рассмотрения (в том числе докторантов из других ВУЗов);
 - 3) диссертации, по которым получены отрицательные отзывы рецензентов (в том числе докторантов из других ВУЗов);
 - 4) диссертации с отрицательным решением по итогам защиты (в том числе докторантов из других ВУЗов).

Председатель диссертационного совета _____ (подпись, фамилия и инициалы)

Ученый секретарь диссертационного совета _____ (подпись, фамилия и инициалы)

Печать дата « ____ » _____ 20__ года

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на английском языке

Report on the work of the Dissertation Council

Dissertation Council of the L.N.Gumilyov Eurasian National University on specialties (training program) _____


The report contains the following information:

1. Information on the number of meetings held.
2. Surnames, name, patronymic (if available) of members of the Dissertation Council who attended less than half of the meetings.
3. List of doctoral students with an indication of the organization of training.
4. A brief analysis of dissertations reviewed by the Council during the reporting year, highlighting the following sections:
 - 1) Analysis of the topic of the reviewed papers;
 - 2) The connection of the topic of dissertations with the directions of the development of science, which are formed by the Higher Scientific and Technical Commission under the Government of the Republic of Kazakhstan in accordance with paragraph 3 of Article 18 of the Law "On Science" and (or) government programs;
 - 3) Analysis of the level of implementation of theses in practical activities.
5. Analysis of the work of official reviewers (with examples of the most poor-quality reviews).
6. Suggestions for further improvement of the system of training of scientific personnel.
7. The number of dissertations for the degree of Doctor of Philosophy (PhD), the Doctor of Science in the context of specialties (training programs):
 - 1) Dissertations accepted for defense (including doctoral students from other universities);
 - 2) Dissertations withdrawn from consideration (including doctoral students from other universities);
 - 3) Dissertations with negative reports from reviewers (including doctoral students from other universities);
 - 4) Dissertation with a negative decision on the results of the defense (including doctoral students from other universities).

Chairman of the Dissertation Council _____ (signature, surname and initials)

Scientific Secretary of the Dissertation Council _____ (signature, surname and initials)

Stamp date " ____ " _____ 20__

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Приложение 3

к Положению
на казахском языке

Л.Н. Гумилев атындағы Еуразия ұлттық университеті Диссертациялық кеңестері мәжілістерінің _____ жылға арналған ЖОСПАРЫ

р/с №	Диссертациялық кеңестер	Докторанттар саны		Өзге қалалардағы кеңес мүшелерінің саны	Келу/кету бағыты	Ескерту
		ЕҰУ	басқа жоолар			
Айлар						

к Положению
на русском языке


План работы Диссертационных советов ЕНУ имени Л.Н. Гумилева на ____ год

№	Диссертационный совет	Количество докторантов		Количество иногородних членов	Направление прибытия/отбытия	Примечание
		ЕНУ	Другие ВУЗы			
Месяцы						
1						
2						

к Положению
на английском языке

Work plan of the Dissertation Councils of the L.N.Gumilyov Eurasian National University

№	Dissertation Council	Number of doctoral students		Number of nonresident members	Direction of arrival/departure	Notes
		ENU	Other Universities			
Months						
1						
2						

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Приложение 4

к Положению
на казахском языке

Аты-жөні, тегі _____ **мамандығы**
бойынша философия докторы (PhD) дәрежесін алуға ұсынылған
« _____ » **диссертациялық**

жұмысының АҢДАТПАСЫ

Зерттеу тақырыбының өзектілігі _____
Диссертациялық зерттеудің мақсаты және ғылыми нәтижелері _____
Зерттеудің нысаны _____
Зерттеудің пәні _____
Зерттеудің ғылыми жаңалығы _____
Зерттеудің міндеттері _____
Келесі нәтижелер қорғауға шығарылады _____
Алынған нәтижелердің тәжірибелік маңыздылығы _____
Ізденушінің қосқан жеке үлесі _____
Диссертация нәтижелерінің сыннан (апробация) өтуі _____
Жарияланымдар _____
Диссертацияның құрылымы және көлемі _____


на русском языке

АННОТАЦИЯ

диссертационной работы _____ (ФИО докторанта)

« _____ », представленной на соискание степени доктора философии (PhD)
по специальности: _____

Актуальность темы исследования _____
Цель диссертационного исследования и научные результаты _____
Объектом исследования является _____
Предметом исследования являются _____
Научная новизна _____
Задачи исследования _____
На защиту выносятся _____
Практическое значение полученных результатов _____
Личный вклад соискателя _____
Апробация результатов диссертации _____
Публикации _____
Объем и структура диссертации _____

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на английском языке

SUMMARY

**Of the PhD Thesis on the Specialty 6D070400 – Computer Science and Software Engineering
S.Kh. Iskakov “Development of information and algorithmic models for the
problems of recognition of geological rocks on
stratum-infiltration deposits of uranium”**

Topicality of the research _____

The aim of the research and scientific outcomes _____

The object of the research is _____

The subject of the research is _____

The scientific novelty _____

Research objectives _____

Statements for the defense: _____


Practical significance of the research outcomes _____

Personal contribution of the PhD student _____

Approbation of the thesis outcomes _____

Publications _____

Volume and structure of the thesis _____

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Приложение 5

к Положению
на казахском языке

(Мамандықтың шифры және аты) PhD докторы дәрежесін алу үшін дайындалған «Диссертация тақырыбы» (Т.А.Ә.) диссертациялық зерттеуіне ҒЫЛЫМИ КЕҢЕСШІНІҢ ШКІРІ

(Т.А.Ә.) «Диссертация тақырыбы» көкейкесті мәселеге арналған (диссертация тақырыбы бойынша қандай) - орындалған жұмыстың көкейкестілігін, оның қазіргі ғылым мен практикадағы маңыздылығын негіздеу.

Автор өз зерттеуі барысында сүйенетін негізгі теориялық, әдіснамалық қағидаларды ашып беру (нені зерттегеніне талдау жасау)

Автор қол жеткізген ғылыми нәтижелерді көрсету (нәтижесінде неге қол жеткізді)

Диссертацияның құрылымдық, мазмұндық біртұтастығын көрсету.

Алынған нәтижелерді негіздеудегі автордың негізгі тұғырнамаларын көрсету.

Докторанттың зерттеліп отырған мәселедегі сіңірген жеке еңбегін көрсету.

Докторанттың ғылыми позициясының нақтылығын ашып көрсету.

Аталған диссертацияны орындау барысында автор өзін көрсетті (қандай зерттеушілік қасиеттерді меңгерді, қандай зерттеу әдістерін үйренді, қандай зерттеу жұмыстары жүргізілді).

Аталған зерттеу жұмысы бойынша қорыта айтқанда... (қорытынды), осылардың барлығы (Т.А.Ә.) PhD докторы ғылыми дәрежесіне ізденуге лайықты деп санауға мүмкіндік береді. Жоғарыда айтқандарды қорыта келе, докторант (Т.А.Ә.) қорғауға жіберуге рұқсат беремін.

Ғылыми кеңесші:

қызметі, жұмыс орны,

ғылыми дәрежесі, ғылыми атағы

қолы

Т.А.Ә.

на русском языке

ОТЗЫВ НАУЧНОГО КОНСУЛЬТАНТА

о диссертационном исследовании (Ф.И.О.) «Название диссертации», представленном на соискание степени доктора философии (PhD) по специальности: шифр и название специальности

Диссертация (Ф.И.О.) на тему: «Название диссертации» посвящена актуальной проблеме (какой по теме диссертации) – обосновать актуальность выполненной работы, ее значимость для современной науки и практики.

Раскрыть основные теоретические, методологические положения, на которые автор опирается в процессе своей исследовательской работы (что изучено).

Осветить полученные автором научные результаты (что получено в результате).

Показать структурную и содержательную целостность диссертации.

Раскрыть основные подходы автора к обоснованию полученных результатов.

Указать на личный вклад докторанта в исследуемую проблему.

Отразить четкость научной позиции докторанта.

При выполнении данной диссертации автор проявил себя (какие качества исследователя приобрел, как и каким методам исследования научился, какой объем исследования был проведен).

Данная научная работа является результатом (заключение), что позволяет считать (Ф.И.О. докторанта) достойным соискания степени доктора PhD. Исходя из вышесказанного, допускаю докторанта к защите диссертации.

Научный консультант:


должность, место работы,

ученая степень, ученое звание

подпись

Ф. И.О.

Подпись заверяется, гербовая печать

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на АНГЛИЙСКОМ ЯЗЫКЕ

REVIEW OF SCIENTIFIC ADVISOR

for the dissertation (full name) “The title of the dissertation” submitted for the degree of doctor PhD on the specialty: the code number and the name of the specialty

The thesis (Full name) on the topic: “The title of the thesis” is devoted to the actual problem (according to the topic of the thesis) - to justify the relevance of the work, its importance for modern science and practice.

Disclose the main theoretical, methodological provisions that the author relies on in the process of the research work (what has been studied).

Highlight the scientific results obtained by the author (what was obtained as a result).

Show the structural and substantive integrity of the thesis.

Disclose the main approaches of the author to justify the results.

Indicate the personal contribution of the doctoral student to the problem of the study.

Reflect the clarity of the scientific position of the doctoral student.

While performing this dissertation, the author showed (what qualities the researcher acquired, how and what methods of research he/she learned, what scope of research was conducted).

This scientific work is the result (conclusion), which allows us to consider (full name of the doctoral student) worthy of the degree of doctor PhD. Based on the above, I admit the doctoral student to the defense of the dissertation.

Scientific consultant:


position, place of work,

academic degree, academic title

signature

full name

The signature is certified, stamped

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Приложение 6

к Положению
на казахском языке

Ресми рецензенттің пікірі

1. Зерттеу тақырыбының өзектілігі және оның жалпы ғылыми және жалпы мемлекеттік бағдарламалармен (практиканың және ғылым мен техника дамуының сұраныстарымен) байланысы

2. Диссертацияларға қойылатын талап деңгейіндегі ғылыми нәтижелері

3. Ізденушінің диссертацияда тұжырымдалған әрбір нәтижесінің, (ғылыми қағидасының), тұжырымдары мен қорытындыларының негізделу және шынайылық дәрежесі

4. Ізденушінің диссертацияда тұжырымдалған әрбір нәтижесінің (ғылыми қағидасының), тұжырымдары мен қорытындыларының жаңалық дәрежесі

5. Алынған нәтижелердің ішкі бірлігін бағалау

6. Ізденушінің қол жеткізген нәтижелерінің тиісті өзекті мәселені, теориялық және қолданбалы міндетті шешуге бағытталуы

7. Диссертацияның негізгі қағидаларының, нәтижелері мен қорытындыларының жариялануының жеткілікті толықтығына растама

8. Диссертация мазмұнындағы және рәсімделуіндегі кемшіліктер

9. Диссертацияның «Ғылыми дәрежелер беру ережелерінде» қойылған талаптарға сай келуі

Ресми рецензент:

қызметі, жұмыс орны,

ғылыми дәрежесі, ғылыми атағы

қолы

Т.А.Ә.

Мерзімі:

на русском языке

Отзыв официального рецензента

1. Актуальность темы исследования и её связь с общенаучными и общегосударственными программами (запросами практики и развития науки и техники).

2. Научные результаты в рамках требований к диссертациям.

3. Степень обоснованности и достоверности каждого результата (научного положения), выводов и заключения соискателя-докторанта, сформулированных диссертации.

4. Степень новизны каждого научного результата (научного положения) и вывода соискателя-докторанта, сформулированные в диссертации.

5. Оценка внутреннего единства полученных результатов.

6. Направленность полученных соискателем результатов на решение соответствующей актуальной проблемы, теоретической или прикладной задачи.

7. Подтверждение опубликованных основных положений, результатов, выводов и заключения диссертации.

8. Недостатки по содержанию и оформлению диссертации.

9. Соответствие диссертации предъявляемым требованиям «Правил присуждения ученых степеней».

Официальный рецензент:

должность, место работы,


ученая степень, ученое звание

Подпись заверяется, гербовая печать

подпись

Ф.И.О.

на английском языке

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Приложение 8
к Положению
на казахском языке

Қазақстан Республикасы
Білім және ғылым министрлігі
Білім және ғылым саласындағы
бақылау комитеті

Л.Н. Гумилев атындағы Еуразия ұлттық университеті 2020 жылдың 23 қазанында «6D070300 – Ақпараттық жүйелер» мамандығы бойынша 3 оқу жылының докторанты Бапанов Арсений Ауданбековичтің докторлық диссертация қорғайтыны туралы хабарлайды.

Қорғау 2020 жылдың 23 қазанында сағ. 10.00-де болады. Мекен-жайы: Нұр-Сұлтан қ., А.Янушкевич көшесі, 6, №4 ғимарат, №106 ауд.


Ғылыми-зерттеу жұмысы
жөніндегі проректор

Т.А.Ә.

Орынд.: Ғалым хатшының Т.А.Ә.

Тел.:

Ф ЕНУ 403-04-14. Хат. Үшінші басылым.

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на русском языке

**Комитет по контролю
в сфере образования и науки
Министерства образования и
науки Республики Казахстан**


Евразийский национальный университет имени Л.Н. Гумилева извещает о защите диссертации докторанта 3 года обучения по специальности «6D030100 – Юриспруденция» Ибраевой Айгерим Оразовны.

Защита состоится 10 декабря 2019 г. по адресу: г. Нур-Султан, ул. К.Сатбаева, 2, Главный административный корпус, ауд. №302 в 10.00 ч.

**Проректор по научно-
исследовательской работе**

Ф.И.О.

*Исп.: ФИО ученого секретаря
Тел. раб., сот.*

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На английском языке

**Committee for Control of
Education and Science of
Ministry of Education and
Science of the
Republic of Kazakhstan**


L.N.Gumilyov Eurasian National University informs about the defense of the dissertation of Ibraeva Aigerim Orazovna, a doctoral student of 3 years of study in the specialty "6D030100 - Jurisprudence".

Protection will take place on December 10, 2019 at the address: Nur-Sultan, K. Satbaeva str. 2, Main administrative building, auditorium №302 at 10.00 h.

**Vice-Rector for
Science and Research**

full name

*Executor: name of academic secretary
Tel., mobile*

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Приложение 9

к Положению
на казахском языке

«Ұлттық мемлекеттік ғылыми-техникалық сараптама орталығы» АҚ

Л.Н. Гумилев атындағы Еуразия ұлттық университетінің «6D070300-Ақпараттық жүйелер» мамандығы бойынша диссертациялық кеңесі Асанова Бактыгул Унгарсиновнаның «Баяу кокстеу процесінің модельдері мен оптимизациялау алгоритмдерін әзірлеу» тақырыбындағы докторлық диссертациялық жұмысында пайдаланылған материалдардың алынған дереккөзін және авторға сілтеме бар болуын анықтап тексеруін сұрайды.


Диссертация 2020 жылдың 24 қазанында қорғалады.

**Ғылыми-зерттеу жұмысы
жөніндегі проректор**

Т.А.Ә.

*Орынд.: Ғалым хатшының Т.А.Ә.
Тел.:*

Ф ЕНУ 403-04-14. Хат. Үшінші басылым.

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на русском языке

**АО «Национальный центр
государственной научно-
технической экспертизы»**


Евразийский национальный университет имени Л.Н. Гумилева просит принять диссертационную работу докторанта по специальности «6D070300 – Информационные системы» Кайбасовой Динары Женисбековны для проверки на наличие заимствования без указания ссылки на автора и источник заимствования. Тема диссертации «Разработка моделей, методов и алгоритмов для интеллектуальной системы формирования образовательных программ».

Дата защиты: 23 октября 2020 года.

**Проректор по научно-
исследовательской работе**

Ф.И.О

*Исп.: ФИО ученого секретаря
Тел. раб., сот.*

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на английском языке

**JSC "National Center for State
Scientific and Technical
Expertise"**


L.N.Gumilyov Eurasian National University asks to accept the dissertation work of doctoral student in the specialty "6D070300 - Information Systems" Kaybasova Dinara Zhenisbekovna to check for borrowing without reference to the author and the source of borrowing. The dissertation topic: "Development of models, methods and algorithms for intelligent system of formation of educational programs".

Date of defense: October 23, 2020.

**Vice Rector for
Science and Research**

full name

*Executor: name of academic secretary
Tel. mobile*

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Приложение 10
к Положению
на казахском языке

Диссертациялық кеңес мүшелерінің келу парағы

20__ жылғы «__» _____ диссертациялық кеңестің отырысы, хаттама № __

Докторант _____
(тегі, аты, әкесінің аты (ол болған жағдайда))
_____ мамандығы (кадрларды даярлау бағыты) бойынша
диссертациясының қорғауы

Диссертациялық кеңес мүшелерінің тегі, аты, әкесінің аты (ол болған жағдайда)	Дәрежесі	Отырысқа қатысуы (қолы)
1	2	3

на русском языке

Явочный лист членов диссертационного совета


Заседание совета от «__» _____ 20__ года, протокол № _____

Защита диссертации докторанта _____ (фамилия, имя,
отчество (при его наличии))

по специальности (направлению подготовки кадров) _____

Фамилия, имя, отчество (при его наличии) членов диссертационного совета	Степень	Явка на заседание (подпись)
1	2	3

Ученый секретарь диссертационного совета _____ (подпись, фамилия и инициалы)

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на английском языке

Attendance list of members of the Dissertation Council


Meeting of the Council dated _____ 20__, Record No. _____

Doctoral dissertation defense _____ (last name, first name, patronymic (if any))

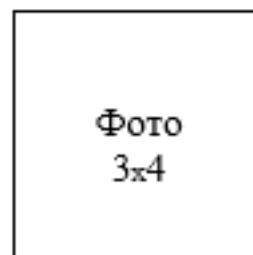
of the specialty (training program) _____

Last name, first name, patronymic (if any) of members of the Dissertation Council;	Degree	Attendance at the meeting (signature)
1	2	3

Scientific Secretary of the Dissertation Council _____ (signature, surname and initials)

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Приложение 11
к Положению
қазақ тілінде




Докторант туралы мәлімет

(тегі, аты, әкесінің аты (ол болған жағдайда))

1	Туған күні және жері, азаматтығы, ұлты		
2	Докторантурада оқығаны туралы ақпарат (ЖОО және оқу мерзімі)		
3	Докторантура мамандығы		
4	Диссертацияның қорғалған орны және күні		
5	Диссертацияның тақырыбы және жазылған тілі		
6	Ғылыми консультанттар (Т.А.Ә. (ол болған жағдайда), лауазымы, ғылыми дәрежесі, ғылыми атағы, азаматтығы)		
7	Ресми рецензенттер (Т.А.Ә. (ол болған жағдайда), лауазымы, ғылыми дәрежесі, ғылыми атағы, азаматтығы)		
8	Жарияланымдар саны, барлығы, оның ішінде:		
	Комитет ұсынатын басылымдарда		
	Web of science (Вэб оф Сайнс) және Scopus (Скопус) базасындағы шетел басылымда		
	халықаралық конференция материалында, оның ішінде: шетелдік конференция материалында		
9	Еңбек жолы		
	Қабылдау мерзімі	Босату мерзімі	Жұмыс орны, лауазымы
			Мекеменің мекен-жайы
10	Мекен-жайы, байланыс деректері		

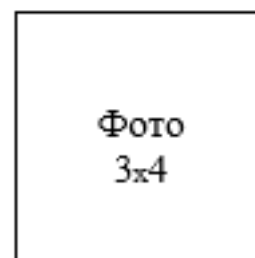
Диссертациялық кеңестің ғалым хатшысы _____ (қолы, аты-жөні және тегі)

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на русском языке

Сведения о докторанте


_____ (фамилия, имя, отчество (при его наличии))



1	Дата и место рождения, гражданство, национальность		
2	Сведения об обучении в докторантуре (ВУЗ и период обучения)		
3	Специальность докторантуры		
4	Место защиты и дата защиты диссертации		
5	Тема и язык диссертации		
6	Научные консультанты (Ф.И.О. (при его наличии), должность, ученые степени, ученые звания, гражданство)		
7	Официальные рецензенты (Ф.И.О. (при его наличии), должность, ученые степени, ученые звания, гражданство)		
8	Количество публикаций, всего, в том числе:		
	в изданиях, рекомендуемых Комитетом		
	в зарубежном издании из базы Web of Science (Вэб оф Сайнс) или Scopus (Скопус)		
	в материалах международных конференций, в том числе:		
	в материалах зарубежных конференций		
9	Трудовая деятельность		
	Дата приема	Место работы, должность	Местонахождение учреждения
	увольнения		
10	Место жительства, контактные данные		

Ученый секретарь диссертационного совета _____ (подпись, фамилия и инициалы) Печать, дата «__» _____ 20__ года

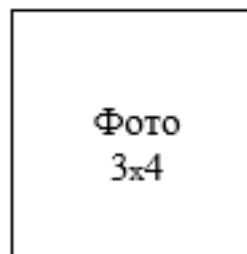
Дата:

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на английском языке

Information on doctoral student


_____ (surname, name, patronymic (if any))



1	Date and place of birth, citizenship, nationality		
2	Data on doctoral studies (University, period of studying)		
3	Specialty of doctoral program		
4	Place and date of defense of the thesis		
5	Topic and the language of the thesis		
6	Scientific consultants (full name, patronymic (if any), work position, scientific degrees, academic title, citizenship)		
7	Official reviewers (full name, patronymic (if any), work position, scientific degrees, academic title, citizenship)		
8	Publications quantity, total, Which includes:		
	In publications, recommended by Committee		
	In the international publications From the base of Web of Science or Scopus		
	In the materials of international conferences, which includes:		
	In the materials of international conferences		
9	Professional experience		
Date of		Place of work, job position	Location of the organization
hire	leaving		
10	Place of residence, contacts		

Scientific secretary of the Dissertation Council _____ (signature, surname and initials) Stamp, date « __ » _____ 20__

Date:

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Приложение12

к Положению
на казахском языке

**«Ұлттық мемлекеттік
ғылыми-техникалық
сараптама орталығы» АҚ**

Л.Н. Гумилев атындағы Еуразия ұлттық университетінің «8D023 – Тілдер және әдебиет» кадрларды даярлау бағыты бойынша диссертациялық кеңесі 2020 жылдың 10 қазанында Карипбаев Жанбота Тасболатовичтің «6D021400 – Әдебиеттану» мамандығы бойынша философия докторы (PhD) дәрежесін алу үшін «Қазақ әдебиетіндегі назиралық дәстүр» тақырыбында қорғаған диссертациялық жұмысын тіркеуге жібереді.

Қосымша:


1. Диссертация – 1 дана;
2. Аннотация үш тілде;
3. Ғылыми еңбектер тізімі;
4. Диссертацияның есептік карточкасы (ДЕК) қазақ және орыс тілдерінде;
5. Электронды нұсқада: CD дискіде диссертация, аннотация 3 тілде, ДЕК.

**Ғылыми-зерттеу жұмысы
жөніндегі проректор**

Т.А.Ә.

Орынд.: Ғалым хатшының АЖТ
Тел.:

Ф ЕНУ 403-04-14. Хат. Үшінші басылым.

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на русском языке

**АО «Национальный центр
государственной научно-
технической экспертизы»**

Диссертационный совет Евразийского национального университета имени Л.Н. Гумилева направляет на регистрацию документы Шакировой Нургуль Ударбековны, защитившей 25 августа 2020 года диссертацию на тему «Сравнительные исследования сплошности буронабивных свай методами «PIT and CROSS-hole analysis»» на соискание степени доктора философии (PhD) по специальности «6D072900 – Строительство».

Приложение:


1. Диссертация – 1 экз.;
2. Аннотация на трех языках;
3. Список научных публикаций;
4. Учетная карточка диссертации (УКД) на русском и казахском языках;
5. CD диск с электронным вариантом диссертации, аннотации на 3 языках,

УКД.

Проректор по науке и инновациям

Ф.И.О.

*Исп.: ФИО ученого секретаря
Тел. раб., сот.*

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на английском языке

JSC "National Center of the State Scientific and Technical expertise

Dissertation Council of Eurasian National University after L.N.Gumilyov submits for registration documents Shakirova Nurgul Udarbekovna, who defended on August 25, 2020 dissertation on "Comparative study of the continuity of bored piles by methods of "PIT and CROSS-hole analysis " for the degree of Doctor of Philosophy (PhD) in the specialty" 6D072900 - Construction ".

Appendix:


1. Dissertation - 1 copy;
2. Abstract in three languages;
3. List of scientific publications;
4. Dissertation record card (DCC) in Russian and Kazakh languages;
5. CD with an electronic version of thesis, abstracts in 3 languages, DCC.

Vice-Rector for Science and Innovation

full name

*Executor: name of academic secretary
Tel., mobile*

F ENU 403-04-14. Letter. Third Edition.

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Приложение 13

к Положению
на казахском языке

ТІРКЕУ-ЕСЕПТІК КАРТОЧКАСЫ

Тегі, аты, әкесінің аты (болған жағдайда) (толық)			
Туылған жылы	Азаматтығы	Ұлты	Аттестациялық істің №
Диссертациялық кеңес	Шешім қабылданған күні, айы, жылы		Шешімнің №
Диссертация қорғалған ЖОО-ның атауы			
Дәрежені ізденуші		Мамандық атауы	
Дәреже берілді		Дипломның №	
Философия докторы (PhD), бейіні бойынша доктор			

Ескерту:

1. Мәтін 148x105мм форматтағы тығыз ақшыл қағаздан жасалған карточкада басылады.
2. «Аттестациялық істің №» және «Дәреже берілді» деген бағандар Комитетте толтырылады.
3. Карточканың артқы жағында ізденушінің тегі, аты, әкесінің аты (болған жағдайда) қорғауда ұсынылады қазақ, орыс және ағылшын тілдерінде көрсетіледі және оның қолымен расталады.


на русском языке

РЕГИСТРАЦИОННО-УЧЕТНАЯ КАРТОЧКА

Фамилия, имя, отчество (при наличии) (полностью)			
Год рождения	Гражданство	Национальность	№ аттестационного дела
Диссертационный совет		Дата решения	№ решения
Наименование вуза, в котором защищена диссертация			
Соискатель степени		Наименование специальности	
Присуждена степень		№ диплома	
Доктор философии (PhD), доктор по профилю			

Примечания:

1. Текст печатается на карточке, выполненной на светлой плотной бумаге формата 148×105 мм.
2. Графы «№ аттестационного дела» и «Присуждена степень» заполняются в Комитете.
3. На оборотной стороне карточки на казахском, русском и английском языках указать фамилию, имя, отчество (при наличии) соискателя, заверенные его подписью.

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REGISTRATION CARD

Surname, name, patronymic (if any) (in full)			
Date of birth	Citizenship	Nationality	certificate №
Dissertation Council		Date of decision	decision №
University where the defense was held			
Doctoral candidate		Name of the specialty	
Awarded degree		Diploma №	
Doctor of Philosophy (PhD), Doctor of Science			

Remarks:

1. The text is printed on a card made of a light thick paper of 148 × 105 mm
2. The columns "Certificate №." and "Awarded degree" shall be filled in the Committee.
3. Surname, name, patronymic (if any) of the applicant, certified by his signature shall be written in Kazakh, Russian and English on the back of the card.

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Приложение 14

к Положению
на казахском языке

Диссертациялық кеңестің қорытындысы

келесі бөлімдерден тұрады:

1. Зерттеу тақырыбының өзектілігі.
2. Ғылыми нәтижелер және олардың негізділігі.
3. Ғылыми нәтижелерді тәжірибеде қолдану.
4. Ғылыми нәтижелердің теориялық маңызы.
5. Ғылыми нәтижелерді оқу үдерісіне енгізу (кафедра меңгерушісінің қолы қойылған енгізу актісінің болуы).
6. Басылымдардың Қазақстан Республикасы Білім және ғылым министрлігінің Білім және ғылым саласындағы бақылау комитетінің талаптарына сай болуы.
7. Ғылыми дәреже беру туралы қорытынды.
8. Диссертацияның жіктелу белгілері:
 - 8.1 Диссертация нәтижелерінің сипаты.
 - 8.1.1 Теориялық ережелер, олардың жиынтығын тиісті ғылыми бағыт бойынша жаңа ірі жетістік ретінде саралауға жатады.
 - 8.1.2 Маңызды әлеуметтік-мәдени, экономикалық немесе саяси маңызы бар ғылыми проблеманы шешу.
 - 8.1.3 Ғылыми негізделген техникалық, экономикалық және технологиялық шешімдері, оларды енгізу ғылыми-техникалық прогресті жеделдетуге елеулі үлес қосады.
 - 8.2 Диссертация нәтижелері жаңалықтарының деңгейі.
 - 8.2.1 Нәтижелері жаңа.
 - 8.2.2 Жекелеген нәтижелері жаңа емес.
 - 8.2.3 Нәтижелердің елеулі бөлігі жаңа емес.
 - 8.3 Диссертация нәтижелерінің құндылығы
 - 8.3.1 Жоғары.
 - 8.3.2 Қанағаттанарлық.
 - 8.3.3 Қанағаттанарлық емес.
- 8.4 Диссертация тақырыбының жоспарлы зерттеулермен байланысы.
 - 8.4.1 Тақырып мемлекеттік және өңірлік ғылыми және ғылыми-техникалық бағдарламалар немесе халықаралық зерттеу бағдарламаларына кіреді.
 - 8.4.2 Тақырып іргелі зерттеулер бағдарламасына, салалық бағдарламаға, ғылыми ұйымдар және жоғары оқу орындары жоспарына кіреді.
 - 8.4.3 Бастамашыл жұмыс.
- 8.5 Қолданбалы маңызы және кеңейтілген пайдалану бойынша ұсыныстары бар диссертация нәтижелерін енгізу (пайдалану) деңгейі.
 - 8.5.1 Халықаралық деңгейде (сатылған лицензиялар, халықаралық грант алу).
 - 8.5.2 Салааралық деңгейде.
 - 8.5.3 Сала ауқымында.
 - 8.5.4 Ұйымның аясында.
 - 8.5.5 Кеңейтілген қолданылуды талап етеді.
 - 8.5.6 Кеңейтілген қолданылуды талап етпейді.

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на русском языке

Заключение Диссертационного совета

состоит из разделов:

1. Актуальность темы исследования
2. Научные результаты и их обоснованность
3. Практическое применение научных результатов
4. Теоретическая значимость научных результатов
5. Внедрение в учебный процесс (*наличие акта внедрения за подписью заведующего кафедрой*)

6. Соответствие публикаций требованиям Комитета по обеспечению качества образования МОН РК

7. Заключение о присвоении ученой степени

8. Классификационные признаки диссертации:

8.1 Характер результатов диссертации

8.1.1 Теоретические положения, совокупность которых можно квалифицировать как новое крупное достижение в развитии соответствующего научного направления.

8.1.2 Решение научной проблемы, имеющей важное социально-культурное, экономическое или политическое значение.

8.1.3 Научно обоснованные технические, экономические или технологические решения, внедрение которых вносит значительный вклад в ускорение научно-технического прогресса.

8.2 Уровень новизны результатов диссертации

8.2.1 Результаты являются новыми.

8.2.2 Отдельные результаты не новы.

8.2.3 Значительная часть результатов не нова

8.3 Ценность результатов диссертации

8.3.1 Высокая.

8.3.2 Удовлетворительная.

8.3.3 Неудовлетворительная.

8.4 Связь темы диссертации с плановыми исследованиями

8.4.1 Тема входит в государственные и региональные научные и научно-технические программы или в программы международных исследований.

8.4.2 Тема входит в программу фундаментальных исследований, отраслевую программу, планы научных организаций и высших учебных заведений.

8.4.3 Инициативная работа.

8.5 Уровень внедрения (использования) результатов диссертации, имеющей прикладное значение и рекомендации по расширенному использованию результатов диссертации, имеющей прикладное значение

8.5.1 на международном уровне (проданы лицензии, получены международные гранты).

8.5.2 На межотраслевом уровне.


8.5.3 В масштабах отрасли.

8.5.4 В рамках организации.

8.5.5 Требуется расширенного использования.

8.5.6 Не требует расширенного использования

Печать, дата

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Conclusion of the Dissertation Council

consists of:

1. Topicality of the research topic
2. Scientific results and their validity
3. Practical application of scientific results
4. Theoretical significance of scientific results
5. Introduction to the educational process (the presence of the introduction signed by the head of the department)
6. Compliance of publications with requirements of Committee for Quality Assurance in Education MES RK
7. Conclusion on awarding a degree
8. Classification signs of the thesis:
 - 8.1 The character of the dissertation results
 - 8.1.1 Theoretical propositions, which can be qualified as a major new achievement in the development of the relevant scientific field.
 - 8.1.2 The solution of a scientific problem of major socio-cultural, economic or political importance.
 - 8.1.3 Scientifically based technical, economic or technological solutions, the introduction of which makes a significant contribution to the acceleration of scientific and technological progress.
 - 8.2 The level of novelty of the results of the thesis
 - 8.2.1 Results are new.
 - 8.2.2 Particular results are not new.
 - 8.2.3 Considerable part of the results is not new.
 - 8.3 The value of the results of the thesis
 - 8.3.1 High.
 - 8.3.2 Satisfactory.
 - 8.3.3 Unsatisfactory.
 - 8.4 Connection of the dissertation topic with planned research
 - 8.4.1 The topic is included in state and regional scientific and scientific-technical programs or in international research programs.
 - 8.4.2 The topic is included in the program of basic research, the sectoral program, the plans of scientific organizations and universities.
 - 8.4.3 Initiative work.
 - 8.5 The level of implementation (use) of the results of the thesis with an applied value and recommendations for the expanded use of the results of the thesis with an applied value
 - 8.5.1 International level (licenses sold, international grants received).
 - 8.5.2 Interdisciplinary level.
 - 8.5.3 In the level of the industry.
 - 8.5.4 In the framework of the organization.
 - 8.5.5 Requires extended use.
 - 8.5.6 Does not require extended use

Stamp, date

